

Model Of Stages of Determination of Strength of Dynamic Fracture of Rocks and Digital Technological Verification

Djaksimuratov Karamatdin Mustapaevich¹, Maulenov Nurlibek Axmet o'g'li², Joldasbayeva Aysulu Baxitbay qizi³, o'telbayev Azizbek Alisher o'g'li⁴, O'razmatov Jonibek Ikromboy o'g'li⁵

Abstract: Rapid development of engineering activities expands through a variety of rock engineering processes such as drilling, blasting, mining and mineral processing. Rock dynamic fracture mechanics methods are required to characterize the rock behavior in these activities. Dynamic fracture toughness is an important parameter for analysis of engineering structures under dynamic loading. Several experimental methods are used to determine the dynamic fracture properties of materials. Among them, the Hopkinson pressure bar and the drop weight have been frequently used to analyze the rocks properties. On the other hand, numerical simulations have been proved to be useful in dynamic fracture studies. Among various numerical techniques, the powerful extended finite element method (XFEM) enriches the finite element approximation with appropriate functions extracted from the fracture mechanics solution around a crack-tip. The main advantage of XFEM is its capability in modeling different states on a fixed mesh, which can be generated without considering the existence of discontinuities. In

this paper, first, the design of a drop weight test setup was presented, and afterwards, the experimental tests on igneous (basalt) and calcareous (limestone) rocks of single-edge-cracked bend specimen were discussed. Then, each experimental test is modeled with the XFEM code. Finally, the obtained experimental and numerical results were compared. The results indicate that the experimentally predicted dynamic fracture toughness has less than 8 percent difference with the dynamic fracture toughness calculated from extended finite element method.

Keywords: Rock fracture dynamic toughness, extended finite element method (XFEM), three point bending test, drop weight setup.

Introduction

The knowledge of behavior of materials under dynamic fracturing at high strain rates is essential for the design components subjected to dynamic loads. This behavior is described by fracture parameters, such as the dynamic fracture-initiation toughness (K_{Id}), which represents the value of the stress intensity factor (SIF) at which a crack begins to propagate. The methodology for determination of the dynamic fracture initiation toughness in static condition (K_{IC}) is known as the standard method for evaluating the dynamic fracture properties. However, different methods have been proposed to evaluate the dynamic fracture properties of different materials. The Hopkinson pressure bar, the Charpy impact and the drop weight test are three common techniques used for determination of dynamic fracture properties of materials. Notched three point bend specimens are used in these tests. The instrument used in the Hopkinson bar has been widely used to conduct fracture tests for measuring the dynamic fracture toughness. Testing of pre-cracked Charpy specimens can yield values that are related to K_{IC} values. The drop-weight machine has become standard laboratory equipment through the ASTM standard E-208. It is possible to design many different specimen geometries to be tested in the drop-weight machine, but the most common geometries are the edge-notched specimens in a three point bend configuration. In 1999 determined the dynamic fracture toughness of marble using cubic-shaped specimens in drop-weight test apparatus, determined it by using holed-cracked flattened Brazilian discs and cracked straight-through fattened Brazilian discs in the Hopkinson pressure bar apparatus. Nikita et al. examined the static and dynamic stress intensity factors of a few different rock types. used notched semi-circular bends and Die tested cracked chevron notched Brazilian disc and notched semi-circular bends to determine the dynamic fracture toughness of granites. The International Society for Rock Mechanics (ISRM) also suggested the short rod (SR) and the chevron bending (CB) tests in 1988 and the cracked chevron notched Brazilian disc (CCNBD) in 1995 to determine the static toughness. All the mentioned tests are corebased test to facilitate preparation of samples from natural rock mass cores. The ISRM commission on rock dynamics suggested the split Hopkinson's pressure bar (SHPB) test for measuring the dynamic fracture toughness of a rock sample using notched semi-circular bend (NSCB) specimens. Modeling is essential for studying the fundamental processes occurring in rock, for assessing the anticipated and actual performance of structures built on and in rock masses, and hence for supporting rock engineering design. Also, numerical methods are used to verify laboratory results. The extended finite element method (XFEM) is capable of modeling strong and weak discontinuities within a standard finite element framework. In this method, analytically derived functions are added to the standard finite element approximation to reproduce the exact analytical solution. If isotropic linear elasticity is the dominant behavior, the two-dimensional asymptotic crack-tip

¹ Candidate of Natural Sciences, Nukus Mining Institute

^{2,3,4,5} Student of Nukus Mining Institute

displacement fields and a discontinuous function are usually adopted to represent a crack. This allows modeling the domain without explicitly meshing the crack surfaces, and without any re-meshing, it would facilitate crack propagation procedure on the initial mesh. Dynamic fracture problems can be categorized into stationary and propagating cracks. In both cases, stress and displacement fields around the crack tip are time dependent, studied the stress and displacement fields of dynamic stationary crack problems in isotropic materials. The stress and displacement fields of the dynamic crack propagation with high speed in isotropic materials is also studied. The dynamic crack propagation formulation and the crack tracking procedure are the main two independent parts involved a dynamic crack analysis by XFEM. The way dynamic crack propagation is formulated is related to the first part. A methodology for switching from a continuum to a discrete discontinuity was developed by based on the loss of hyperbolicity for rate independent materials. The second part is a crack tracking procedure to represent an existing crack and its evolution by time. The level set and fast marching methods are available. They have been successfully implemented in the XFEM codes and can be used for efficient quasistatic or dynamic crack evolution problems (2003). In this paper, rock dynamic fracture is studied experimentally and numerically. First of all, we describe the apparatus that determines the dynamic fracture properties of materials and the procedure of determining the dynamic fracture toughness. Then, the test results of three point specimens for igneous (basalt) and calcareous (limestone) rocks are discussed. Finally, by performing the extended finite element modeling, the results of experimental and numerical dynamic fracture toughnesses are compared.

Experimental processes

Experimental setup for determination of dynamic fracture

Although most researchers use Hopkinson's machine for dynamic tests, the drop weight test machine has been utilized here for two main reasons:

1. The specimens of Hopkinson's machine are smaller. This difference in dimensions significantly affects the test results due to the increased effect of inhomogeneity in larger specimens. Discontinuities and micro-cracks naturally occurring in rocks are more likely to be incorporated in larger specimens (40 cm) than smaller ones (2-3 cm), and therefore, constitute a significant change in dynamic behavior. Consequently, larger dimensions represent a better and more realistic description.
2. Whereas Hopkinson's component for impact application is already in contact with the specimen even before exertion of the load, the drop system applies the load to the specimen in a natural manner so that the impact has an initial velocity and a real dynamic loading is in effect. This paper describes an experimental technique for studying the dynamic fracture toughness of rocks. Based on the impact testing machine constructed at University of Tehran, a three point bending specimen is fractured under impact loading (Figure 1). In this machine, the load P can be measured during the impact. The main components of the machine include: elastic steel bar with 1500 mm in length and 30 mm in diameter, supports, drop system, base and the data acquisition system. Since the load acting on the specimen measures the dynamic fracture toughness, it is determined by the output of the strain gauges mounted on the bar (Figure 2a). Effects of the tub shape on rock fracture parameters have not been studied, yet. Therefore, the ASTM Standard E-23 was adopted for designing the tub. The data acquisition system consists of a digital oscilloscope DS 1064 B that has four channels with 2Gsa/s sampling precision and an electrical circuit comprising an amplifier and a wheatstone bridge (Figure 2b). Considering that the output of the oscilloscope is reported in voltage, calibration is required to determine an appropriate relationship between the impact force and the reported voltage. It should be noted that the steel bar was tensioned, and then in different loads, the corresponding strains and voltages were recorded to obtain the relationship between the force and voltage.

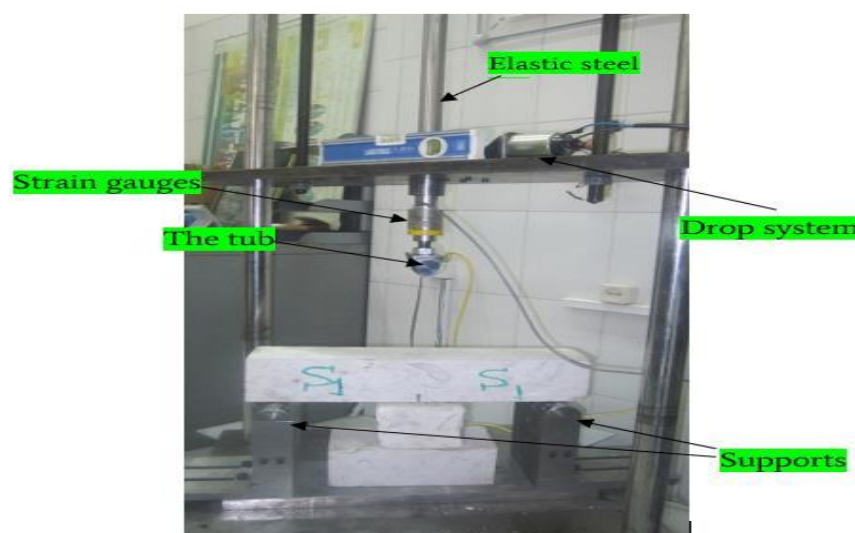


Fig. 1. Impact testing machine.

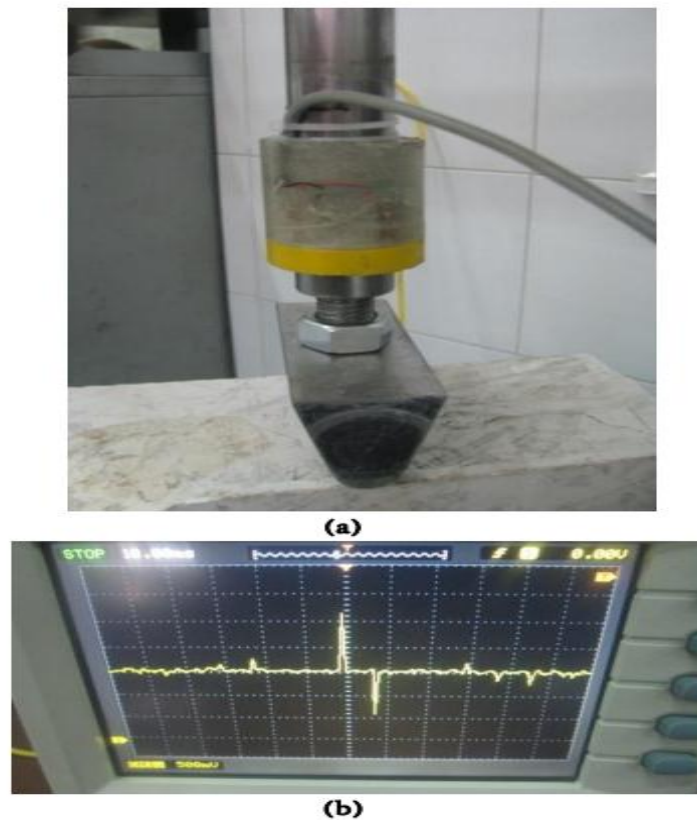


Fig.2 (a) Tub and strain gauges mounted on the bar, (b) oscilloscope for recording the load data.

This Machine has the same advantage as Hopkinson's which offers the possibility of mounting a strain gauge on the drop system. Furthermore, it has the advantageous capability of realistic impact modeling of larger specimens; but compared with Hopkinson's, it has limitations in making accurate adjustments to the quantity of applied forces. The design process for an accurate load adjustment apparatus is in progress. After production, this device will be incorporated in future designs. Another advantageous factor to this machine is its capability of performing tests on specimens in a variety of forms, including cubic, cylindrical, disc-shaped, shotcrete or concrete panels. It is acknowledged that the main issue with the drop weight test is its inertial force of impact. Examinations revealed that in case the specimen is lightweight, it will rebound off the support frame (modeled with Abaqus™ software). However, the dimensions and weight of the specimens in this machine are great enough to prevent such rebounds during the test.

Lithological details in thin sections of studied rocks

The two rock types used in this study were calcareous and igneous rocks. In specimen selection, the principal importance was given to homogeneity; hence, basalt and limestone respectively represent igneous and sedimentary rocks in this study. Furthermore, in order to acquire accurate data, two thin sections were prepared and examined under microscope. The result of these studies indicate that the calcareous rock is a bioclastic wackestone with a microcrystalline groundmass containing bioclasts such as, skeletal grains and fossil relicts (undifferentiated), mostly changed into calcite cement casts. Also, the fractures of 0.5 - 2.0 mm thick were completely filled by sparry calcite cement (Fig.3a). The igneous rock, on the other hand, is an unaltered basalt with a vitreous groundmass and plagioclase phenocrysts (Fig.3b).

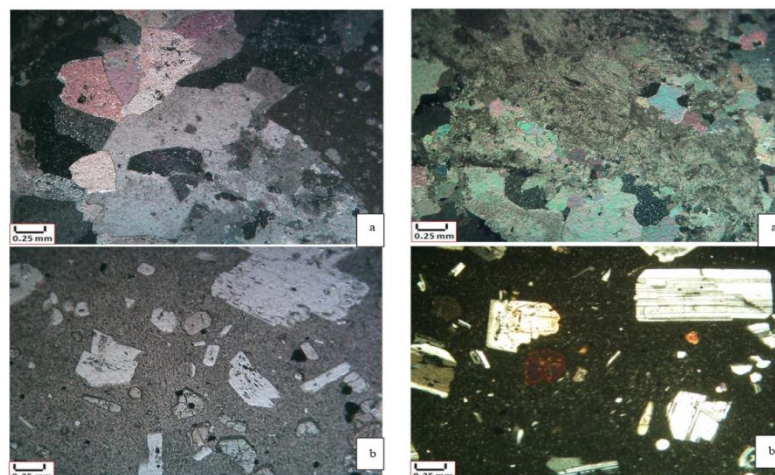


Fig. 3. The photomicrographs of studied this sections of selected rocks. (a) calcareous (bioclastic wackestone), (b) igneous (basalt).

Despite its compatibility with various shapes and dimensions of specimens, larger specimens were tested in this study as much as possible. The most common form in such machines is the notched cubic specimens. In order to determine the elastic modulus and the Poison ratio of basalt, uniaxial tests were carried out. Furthermore, in order to carry out mode I tests, five notched cubic specimens were prepared with notches in the middle. The notches were 2mm wide with different depths and the same span lengths. Dimensions of specimens and spans are given in Table 1. Where, S is the support span; a is the crack (notch) depth; B is the specimen thickness; W is the specimen width. Comparing the failure surfaces of fractured specimens, it is apparent that failure surfaces of calcareous (limestone) specimens are rougher than igneous (basalt) rocks. Therefore, compared to limestone, basalt is a homogenous rock (Fig.5). These details was not included in the numerical modeling due to greater emphasis on the more general properties of the rock specimens.

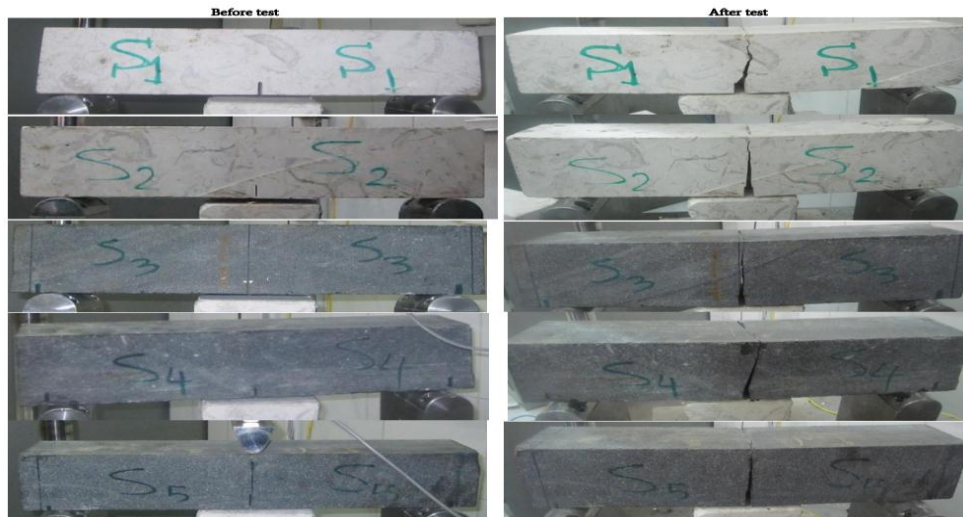


Fig.4: Specimens before and after tests

Determination of dynamic fracture toughness from experimental

Dynamic fracture toughness can be calculated by applying the following equation

$$1. \quad K_{I,d} = \frac{P_Q \cdot S}{B \cdot W^{3/2}} * f(a/w)$$

Where P Q is force value; S is the support span; a is the crack (notch) depth; B is the specimen thickness; W is the specimen width; and (a/w) is the dimensionless stress intensity factor, defined by Eq. (2). Results for each specimen are presented in Table 2.

$$f(a/w) = \left(\frac{a}{w}\right)^{1/2} \times \left[2.9 - 4.6 \left(\frac{a}{w}\right) + 21.8 \left(\frac{a}{w}\right)^2 - 37.6 \left(\frac{a}{w}\right)^3 + 38.7 \left(\frac{a}{w}\right)^4 \right] \quad (2)$$

As described in section 2.1, the most important experimental data is impact load. Impact load is calculated by strain gauge that was installed on the bar. Dynamic force is applied to the samples and the length of bar changes under the implied force. This length shortening leads to voltage variation on the oscilloscope. By using the calibration equation the force is obtained

Table 2 $f(a/w)$ for specimens

Sample No	$f(a/w)$
S ₁	1.168
S ₂	1.103
S ₃	1.168
S ₄	1.004
S ₅	1.523

Table 3 Impact forces for specimens

Sample No	P _Q (N)
S ₁	64239.7
S ₂	27325.7
S ₃	101153.7
S ₄	55011
S ₅	78082

PQ was determined based on data collected by the oscilloscope (Table 3). As the results show, maximum and minimum impact force is respectively for igneous and calcareous rock.



Fig.5: Fractured surfaces of limestones and basalts specimens under dynamic loading

The dynamic fracture toughness calculated from Eq. (1), are given in Table 4

Table 4 Experimental dynamic fracture toughness

Sample No	$K_{I_Exp}(MPa.m^{1/2})$
S ₁	8.59
S ₂	3.45
S ₃	13.53
S ₄	5.74
S ₅	12.2

The dynamic fracture toughness depends on loading, and therefore, different results (dynamic fracture toughness) were obtained for each rock. Generally, the toughness of basalt is greater than that of limestone and this is due to denser distribution of planes of weakness in limestone. Based on the results of these experiments, it is concluded that increased inhomogeneity in rocks produces greater irregularity and roughness in fracture surfaces. In a parallel manner, homogeneous rocks are expected to produce regular and uniform fracture surfaces. Thorough investigation of this issue can provide the possibility of inducing predetermined and desired fracture surfaces in rock structures.

Basics of XFEM

In XFEM, the finite element mesh is generated regardless of the existence and location of any cracks. Then, through the use of level set or other search algorithms, the exact location of any discontinuity is determined with respect to the existing mesh. Afterwards, a few degrees of freedom are added to the classical finite element model in selected nodes around the discontinuity. These additional degrees of freedom contribute to the approximation through the use of the generalized Heaviside and crack-tip (near-tip) functions. Assume a discontinuity (a crack) within an independent finite element mesh, as depicted in Fig. 6. The displacement field for any typical point x inside the domain can be written in terms of the classical finite element approximation and the XFEM enriched fields (Eq. (3)).

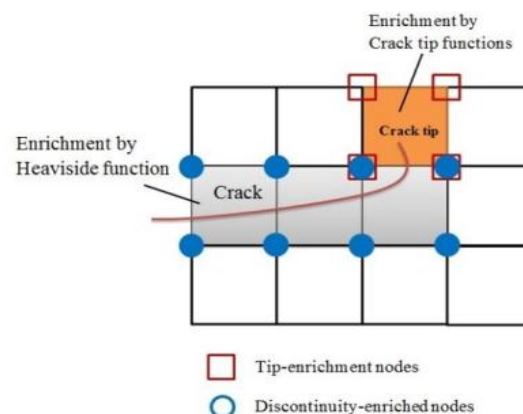


Fig.6 Determining the elements and nodes for discontinuity and crack tip enrichments.

$$\mathbf{u}^h(x) = \sum_{n_I \in N} \phi_I(x) \mathbf{u}_I + \sum_{n_J \in N^g} \phi_J(x) H(x) \mathbf{a}_J + \sum_{k \in K} \phi_k(x) \left(\sum_I F_I(x) \mathbf{b}_k^I \right) \quad (3)$$

where N is the total number of nodes, n_I represents the node I , N^g is the set of nodes that their corresponding elements are cut by crack faces (but not crack-tip), \mathbf{u}_I is the FEM vector of regular degrees of freedom.

Numerical modeling of the rock tests

Numerical modeling of the performed experimental tests are discussed in this section. Dynamic analyses of stationary and dynamic crack (whether the crack has propagation or not) were performed to determine the dynamic toughness. Fig.8 shows the schematic definition

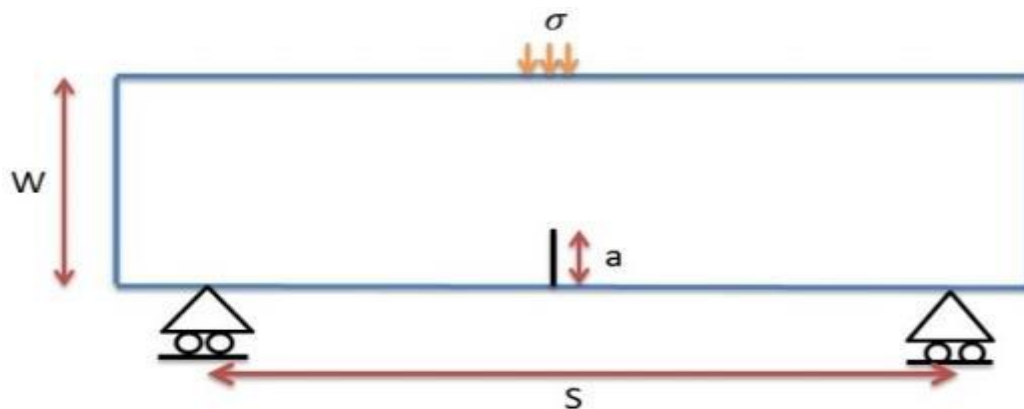


Fig.8 Schematic figure of model.

In order to verify the results of the tests, all tests on basalt rock S3, S4 and S5 were modeled with numerical code. In the numerical model, a uniform mesh comprising 300×81 elements respectively in x direction and y direction was used (Fig. 9). According to the measurements of impact forces in experimental tests, the time dependent forces of Fig. 10 were applied on the model of S3, S4 and S5 specimens, respectively.

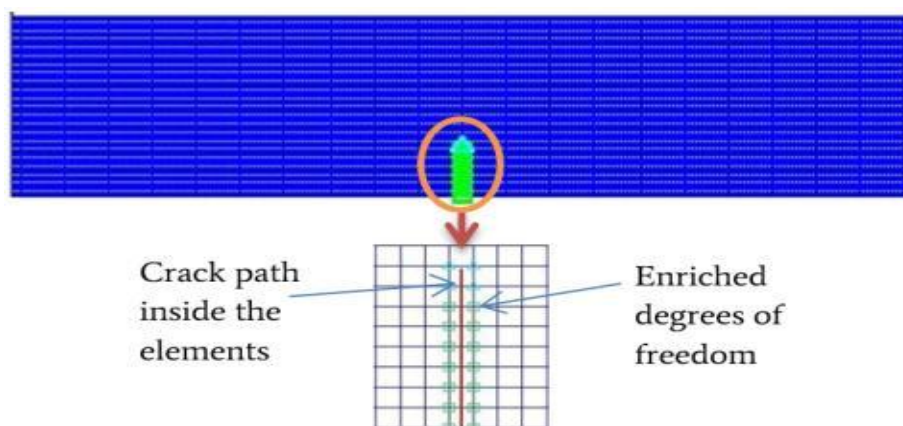


Fig.9 Finite element mesh of the model

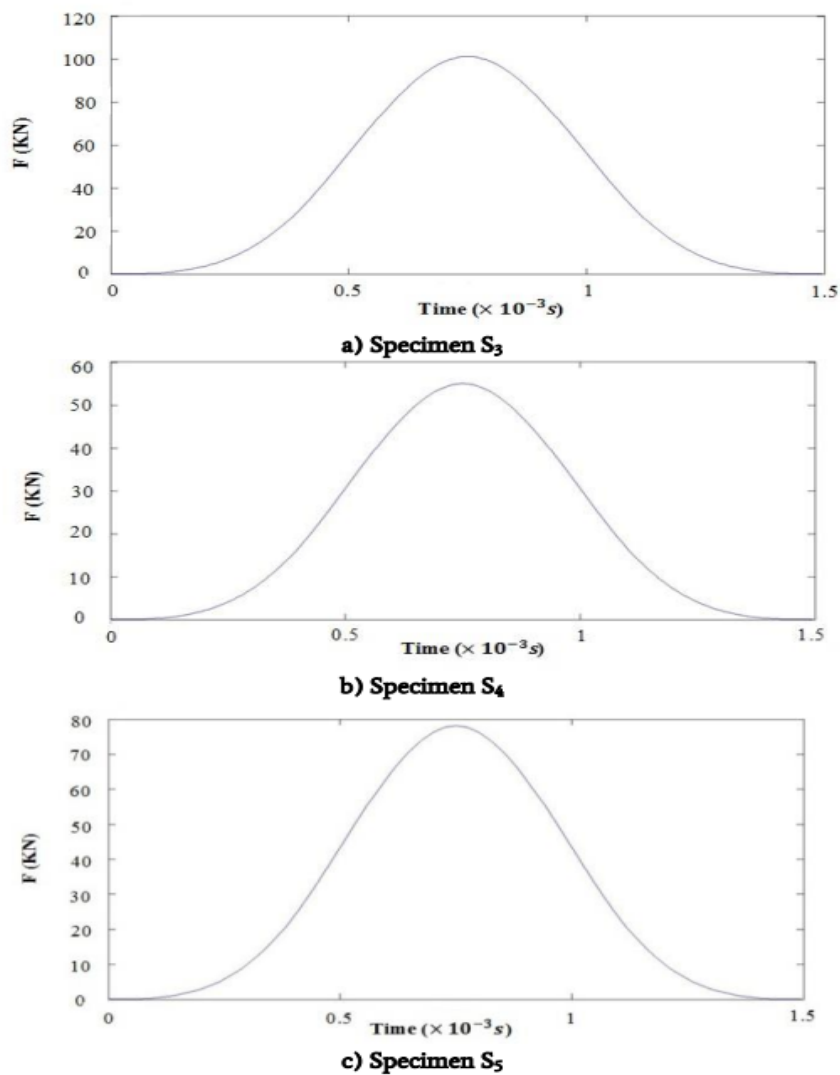


Fig.10 Time dependent impact force for the specimens, a) Specimen S3 , b) Specimen S4 , c) Specimen S5.

Mechanical characteristics of the basalt specimens are summarized in Table 7. The numerically predicted dynamic fracture toughnesses for samples of S3, S4 and S5 were compared with the test results which are given in Table 8. The maximum difference was limited to less than 8 percent. In addition, σ_y , σ_{xx} and σ_{xy} stress contours for the S3 specimen in several times of modeling are illustrated in Figs. 11-13

Table 7: Mechanical characteristics of basalts

E (GPa)	ϑ	$\rho(\frac{kg}{m^3})$
74.8	0.17	2700

Table 8: Dynamic fracture toughness for samples S3, S4 and S5

Sample No	$P_Q(N)$	K_{I_Num} (MPa.m ^{1/2})	K_{I_Exp} (MPa.m ^{1/2})	Difference (%)
S ₃	101153.7	13.56	13.53	1
S ₄	55011	6.2	5.74	7
S ₅	78082	13.3	12.2	8

Fig.14 shows vertical propagation of crack for each specimen. It can be seen that dynamic crack propagation modeling is consistent with experimental results.

Comparison of experimental and numerical results

To ensure the validity of experimental results, the values of dynamic fracture toughness in Table 4 were compared with that of numerical simulations. Furthermore, the XFEM codes utilized in these analyses were verified by exact solutions of fracture mechanics and proved to be reliable for comparison of results. Despite its inertial impact deficiencies, if the experimental results do not deviate from numerical calculations, it can be concluded that this machine is capable of yielding correct results. Experimental and numerical results and their differences are summarized in Table 9 and there is less than 8% difference between results.

Table 9 Compare experimental and numerical dynamic fracture toughness

Sample No	K_{I_Exp} (MPa.m ^{1/2})	K_{I_Num} (MPa.m ^{1/2})	Differents (%)
S ₃	13.53	13.56	1
S ₄	5.74	6.2	7
S ₅	12.2	13.3	8

The difference between the experimental and numerical results is approximately 8 percent, which was already anticipated due to the restrictions applied to fracture growth in numerical models. This constrain was applied to prevent the excessive fluctuations of stress intensity factor during the fracture growth which renders an impossible measurement of toughness.

Conclusion

This research used a drop-weight testing technique on single-notched three point bend specimens to measure the mode I of dynamic fracture toughness of rock. It is believed that Hopkinson's machine is not suited for dynamic fracture tests on specimens with larger dimensions and therefore leading researchers toward drop-weight testing systems. Greater weight of such specimens eliminates the possibility of using the Hopkinson's machine. Moreover, Hopkinson's machine occupies more space whereas the drop-weight system fits in smaller spaces. It is emphasized that the advantageous points of Hopkinson's machine can be adopted by other dynamic fracture testing machines. The experimental tests were performed on the igneous (basalt) and calcareous (limestone) rocks and the dynamic fracture toughness was calculated for each specimen. The igneous (basalt) rocks have higher value of the dynamic fracture toughness than the calcareous (limestone) rocks. In order to ensure the validity of experimental results, the XFEM numerical code, mostly suited to fracture mechanics was utilized. The accuracy of XFEM codes was verified by the results of analytical solutions. The dynamic XFEM numerical method was adapted to

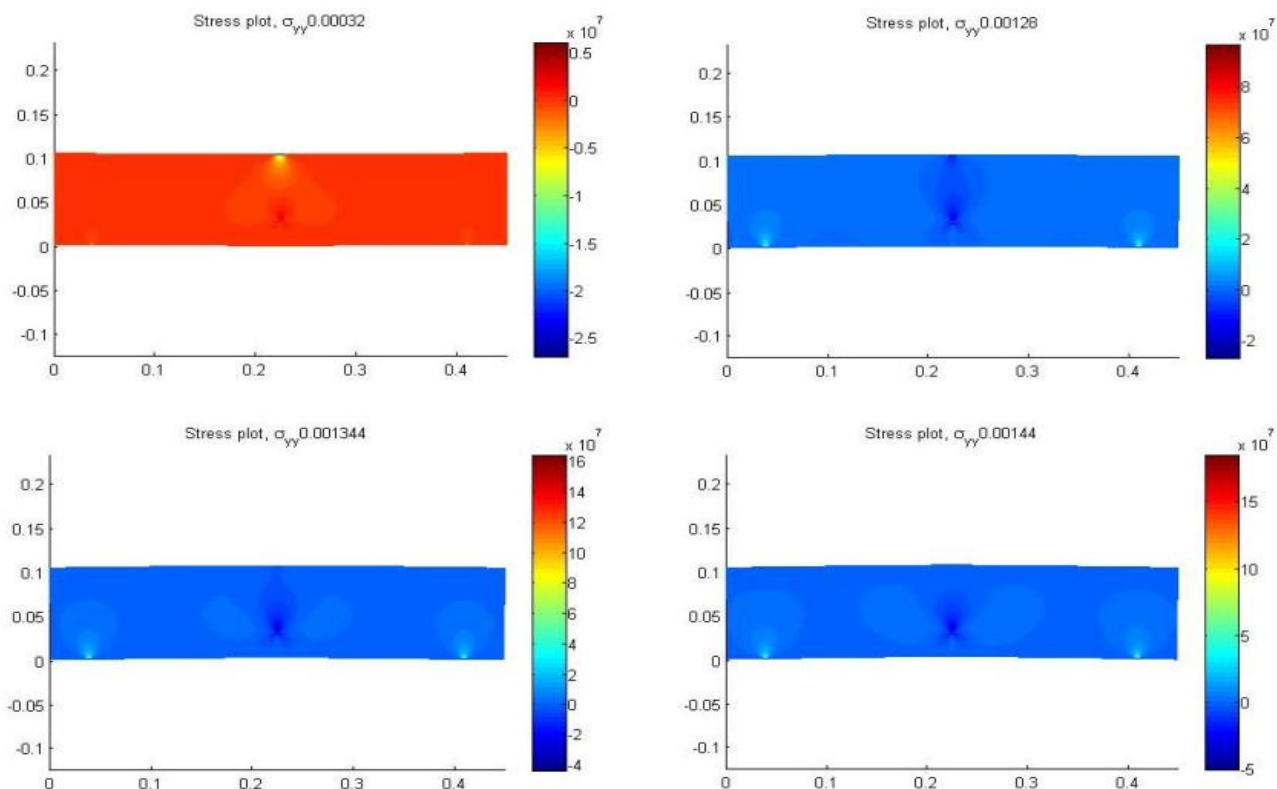


Fig.11 Contours of the σ_{yy} stress at different time steps.

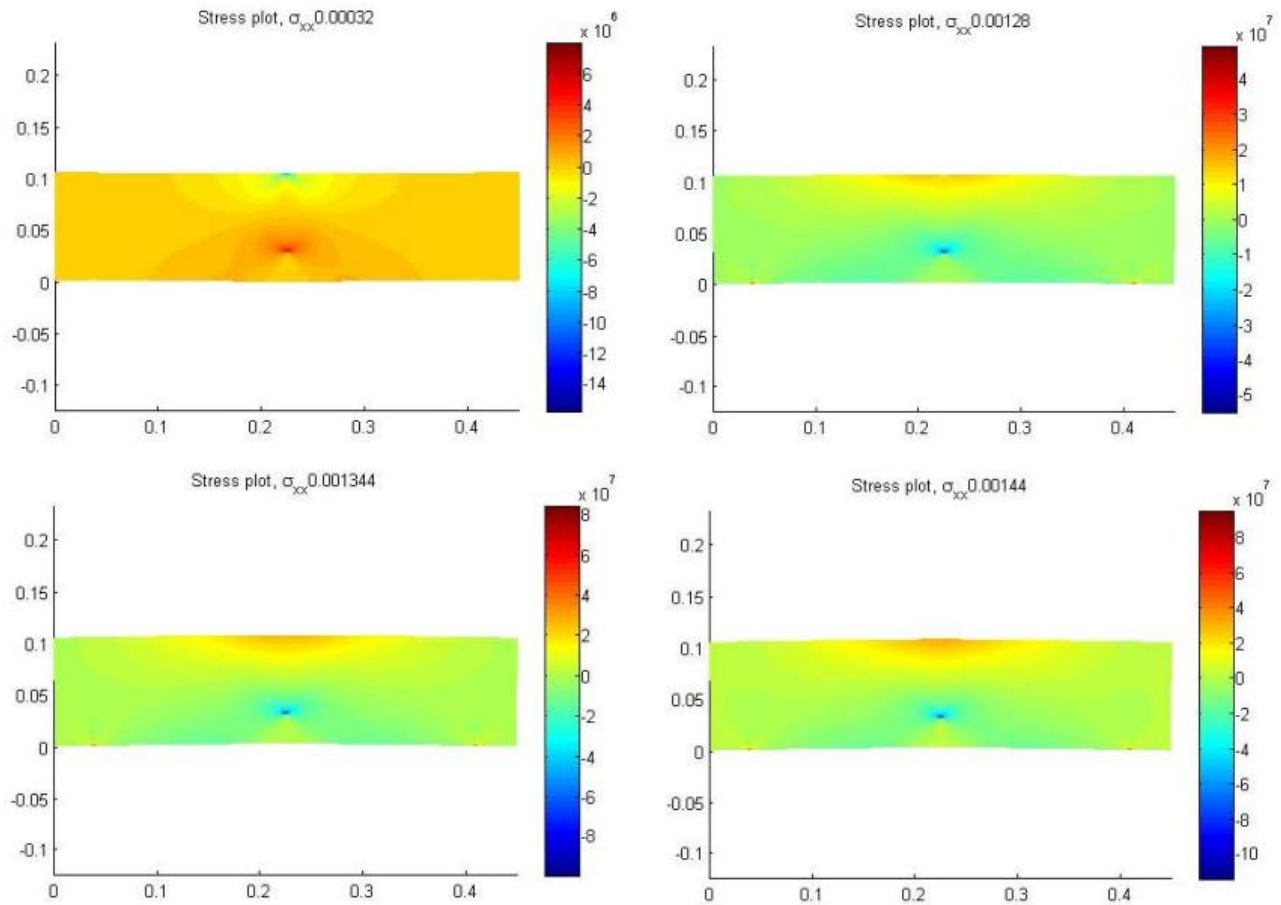


Fig.12 Contours of the σ_{xx} stress at different time steps.

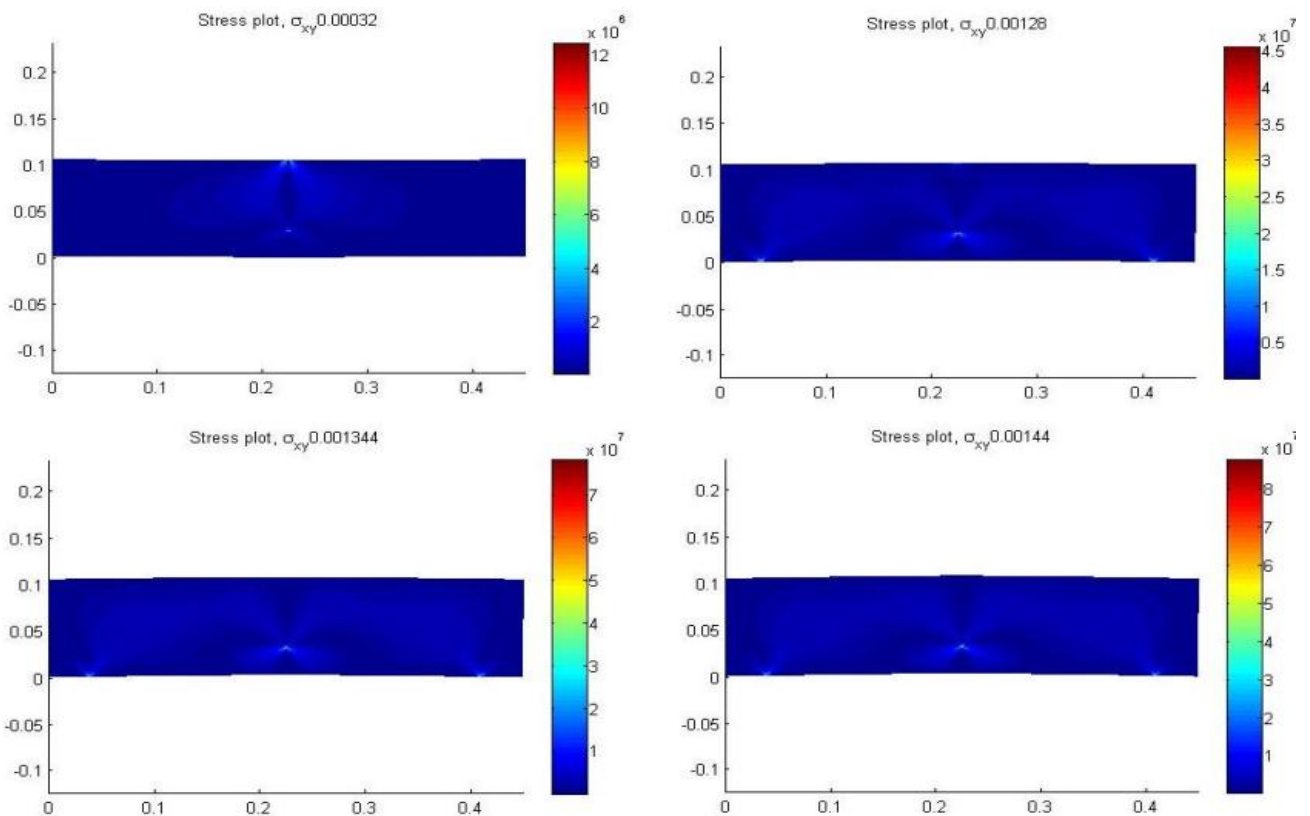


Fig.13 Contours of the σ_{xy} stress at different time steps.

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